

PCT

REC'D 1 8 DEC 2001

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

12

| Applicant | 0 0 | annie file f- | | | | |
|----------------------------------|---|---|--|-------------------|---|--|
| | Applicant's or agent's file reference 45419-pt | | FOR FURTHER ACTION | | ation of Transmittal of International | |
| International application No. | | | | - Tommitaly | Examination Report (Form PCT/IPEA/416) | |
| | _ | | International filing date (day/mon | nth/year) | Priority date (day/month/year) | |
| PCT/CA | | | 21/09/2000 | | 22/09/1999 | |
| C12N15 | | tent Classification (IPC) or na | tional classification and IPC | | | |
| Applicant | | | · | | | |
| NATION | AL F | RESEARCH COUNCIL | OF CANADA et al. | | | |
| 1. This and i | interr s trar | national preliminary examinsmitted to the applicant a | nation report has been prepare coording to Article 36. | ed by this Inter | rnational Preliminary Examining Authority | |
| 2. This | REPO | ORT consists of a total of | 5 sheets, including this cover s | sheet. | | |
| | CCIT | amended and are the pasi | by ANNEXES, i.e. sheets of the is for this report and/or sheets of the Administrative Instruction. | containing rec | tifications made before this Authority | |
| | | exes consist of a total of | | one ander the | . 1 O 1). | |
| | - 4 | exec condict of a total of | Sileets. | | | |
| | <u></u> | | | | | |
| | | - | | | | |
| 3. This r | eport | contains indications relati | ing to the following items: | | | |
| 1 | \boxtimes | Basis of the report | | | | |
| 11 | | Priority | | | | |
| 111 | | Non-establishment of op | inion with regard to novelty, inv | entive step a | nd industrial applicability | |
| IV | | Lack of unity of invention | 1 | | The model and applicability | |
| V | X | Reasoned statement und citations and explanation | der Article 35(2) with regard to a | novelty, inven | tive step or industrial applicability; | |
| VI | | Certain documents cited | | | | |
| VII | | Certain defects in the inte | | | | |
| VIII | \boxtimes | | the international application | | | |
| | | | | | | |
| | | | | | | |
| Date of submission of the demand | | | Date of c | completion of thi | s report | |
| 20/04/200 | 20/04/2001 | | | 14.12.2001 | | |
| lame and m | lame and mailing address of the international reliminary examining authority: | | | ed officer | | |
| | | pean Patent Office | | | STORES MICHOLD | |
| | D-802 | 298 Munich 49 89 2399 - 0 Tx: 523656 e | Kania, | r | | |
| | Fax: | +49 89 2399 - 4465 | | a Na +40 ao ao | 200 7700 | |
| | | | i elebuoti | e No. +49 89 23 | 588 / /US | |



INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/CA00/01096

| I. | Basis | of the | rep | rt |
|----|-------|--------|------|----|
| | | | . 06 | |

| | | ments of the international application (Replacement sheets which have been furnished to response to an invitation under Article 14 are referred to in this report as "originally filed" to this report since they do not contain amendments (Rules 70.16 and 70.17)): |
|-------------|---|---|
| | 1-20 | as originally filed |
| • | Claims, No.: | |
| • | 1-39 | as originally filed |
| | Orawings, sheets: | |
| 1 | /8-8/8 | as originally filed |
| S | equence listing part | of the description, pages: |
| 1. | /5-5/5, as originally file | ed . |
| | | uage, all the elements marked above were available or furnished to this Authority in the atternational application was filed, unless otherwise indicated under this item. Vailable or furnished to this Authority in the following language: , which is: |
| _ | | |
| | the language of a tr | anslation furnished for the purposes of the international search (under Rule 23.1(b)). |
| | the language of pub | of the international application (under Rule 48.3(b)) |
| Ц | 55.2 and/or 55.3). | anslation furnished for the purposes of international preliminary examination (under Rule |
| 3. Wi | th regard to any nucle ernational preliminary | eotide and/or amino acid sequence disclosed in the international application, the examination was carried out on the basis of the sequence listing: |
| \boxtimes | contained in the inte | rnational application in written form. |
| \boxtimes | filed together with th | e international application in computer readable form. |
| | furnished subsequer | otly to this Authority in written form. |
| | | ntly to this Authority in computer readable form. |
| | The statement that the | ne subsequently furnished written sequence listing does not go beyond the disclosure in lication as filed has been furnished. |
| × | The statement that the listing has been furnished | ne information recorded in computer readable form is identify the second |
| 4. The | amendments have re | sulted in the cancellation of: |



International application No. PCT/CA00/01096

| | | the description, | pages: |
|----|--|-------------------------------|---|
| | | the claims, | Nos.: |
| | | the drawings, | sheets: |
| 5. | This report has been established as if (some of) the amendments had not been made, since they have considered to go beyond the disclosure as filed (Rule 70.2(c)): | | |
| | | (Any replacement she report.) | eet containing such amendments must be referred to under item 1 and annexed to this |
| 6 | Δddi | itional observations : | |

- 6. Additional observations, if necessary:
- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes:

Claims 1-39

No:

Claims

Inventive step (IS)

Yes:

Claims 3-6,12-15,21-24,31-34

No:

Claims 1,2,7-11,16-20,25-30,35-39

Industrial applicability (IA)

Claims 1-39 Yes:

Claims No:

2. Citations and explanations see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

The present report refers to the documents cited in the search report.

The document numbering (D1-D10) corresponds to their order of citation in the search report.

Section V (novelty and inventive step, Art. 33 PCT)

- 1. The present application achieves the creation of transgenic plants having a modified fatty acid profile and an increased salt tolerance. The plants recombinantly express an E. coli mutant gene encoding glycerol-3-phosphate dehydrogenase, called gpsA2FR herein. The mutant enzyme is less sensitive to feedback inhibition by the reaction product glycerol-3-phosphate (G-3-P) which leads to an increased enzymatic activity in transgenic plants.
- 2. Independent claims 19 and 29 relate to methods for the expression of heterologous glycerol-3-phosphate dehydrogenase (G-3-P dehydrogenase) in transgenic plants, as well as to the plants created, with the objective to modify fatty acid content or stress tolerance of said plants. The methods are characterized by the use of an enzyme that is "less sensitive to feedback inhibition".

Cited document D1 describes the cloning of a G-3-P dehydrogenase from Cuphea lanceolata. The use of this sequence for the heterologous expression in transgenic oil plants in order to modify lipid metabolism is suggested (see pages 12/13, claim 11). Cited document D2 characterizes different isoforms of G-3-P dehydrogenase from the alga Dunaliella. A so-called osmoregulator isoform is identified and suggested to be used for the improvement of osmoregulation with glycerol in transgenic plants (see page 249).

In the light of these documents the problem underlying said claims is the replacement of these enzymes by an alternative enzyme. The solution to choose an enzyme that is less sensitive to feedback inhibition can be regarded as obvious in the light of cited documents D5 and D6, that disclose G-3-P dehydrogenase from E. coli as well as its mutant isoform which is less sensitive to feedback inhibition.

Thus, claims 19 and 29 in their present form are regarded as **not inventive** (Art.33(3)PCT).

The same applies for the even more general claims 1 and 10 as well as dependent

INTERNATIONAL PRELIMINARY International application No. PCT/CA00/01096 EXAMINATION REPORT - SEPARATE SHEET

claims 2, 7-9, 11, 16-18, 20, 25-28, 30, 35-38. The use of a mutant enzyme or the selection of host plants are not considered as special technical features that could be regarded as establishing a contribution over the prior art, i.e. an inventive concept.

- 3. The vector of claim 39 is merely characterized by a result to be achieved. The only characterizing feature of this vector is the DNA encoding a G-3-P dehydrogenase. Such a vector is suggested in D1 and D2. Consequently, claim 39 is **not inventive (Art. 33(3) PCT)**.
- 4. For the the successful use of the specific sequences of SEQ ID NO:1,2 cloned in the present application, novelty and inventive activity can be acknowledged.

Section VIII (clarity, Art. 6 PCT)

5. The term "gpsA2FR" used in some of the claims does not readily define the claimed subject-matter. It was read, however, in the sense of the application as a synonym for the glycerol-3-phosphate encoding sequences of SEQ ID NO:1 and 2.



PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

| Applicant's o | r agent's file reference | FOR FURTHER ACTION | see Notification of Form PCT/ISA/2 | of Transmittal of Int (20) as well as, who | ernational Search Report ere applicable, item 5 below. |
|--------------------|--|--|--|---|---|
| International | application No. | International filing date (day | /month/year) | (Earliest) Priorit | y Date (day/month/year) |
| PCT/CA C | 00/01096 | 21/09/200 | 00 |] | 2/09/1999 |
| Applicant NATIONAL | RESEARCH COUNCIL | OF CANADA | | | |
| This Interna | tional Search Report has bee Article 18. A copy is being tr | n prepared by this Internationa ansmitted to the International E | I Searching Auth Bureau. | ority and is transm | itted to the applicant |
| This Internat | tional Search Report consists It is also accompanied by | of a total of4 a copy of each prior art docum | _ sheets. nent cited in this i | report. | |
| | f the report | | | | |
| a. With lang | regard to the language, the uage in which it was filed, unl | international search was carrie ess otherwise indicated under | d out on the basi this item. | is of the internation | al application in the |
| | the international search w Authority (Rule 23.1(b)). | as carried out on the basis of a | translation of the | e international app | lication furnished to this |
| b. With was | regard to any nucleotide an carried out on the basis of the contained in the internation filed together with the internation | nal application in written form. rnational application in compute | - | | on, the international search |
| | | this Authority in written form. | | | |
| H | | this Authority in computer read | | | |
| | application at | | | | |
| | the statement that the info furnished | rmation recorded in computer r | eadable form is i | dentical to the writ | ten sequence listing has been |
| 2. | Certain claims were four | nd unsearchable (See Box I). | | | |
| 3. | Unity of invention is lack | | | | |
| 4. With rega | rd to the title , | | | | |
| X | the text is approved as sub | mitted by the applicant. | | | |
| | the text has been establish | ed by this Authority to read as | follows: | | |
| 5. With rega | rd to the abstract , | | | | • |
| | the text is approved as sub the text has been establish within one month from the o | mitted by the applicant. ed, according to Rule 38.2(b), t date of mailing of this internatio | by this Authority a nal search report | as it appears in Bo t, submit comments | x III. The applicant may, s to this Authority. |
| . The figure | of the drawings to be publis | hed with the abstract is Figure | No. | | |
| | as suggested by the applica | | | X | None of the figures. |
| | because the applicant failed because this figure better of | _ | | | |
| | O (first shoot) / hub. 4000) | maracterizes the invention. | | | |



rnational Application No

PCT/CA 00/01096 A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C12N15/82 C12N15/53 A01H5/00 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC 7 C12N A01H Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, BIOSIS, WPI Data C. DOCUMENTS CONSIDERED TO BE RELEVANT Category ° Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. X WO 95 06733 A (MAX PLANCK GESELLSCHAFT 1-3, ; SCHELL JOZEF (DE); HAUSMANN LUEDGER 7-12, (DE);) 9 March 1995 (1995-03-09) 16-21. 25-28,39 Υ the whole document 29-31, 35 - 38Y GEE ROBERT ET AL: "Two isoforms of 29-31, dihydroxyacetone phosphate reductase from 35-38 the chloroplasts of Dunaliella tertiolecta." PLANT PHYSIOLOGY (ROCKVILLE), vol. 103, no. 1, 1993, pages 243-249, XP002154757 ISSN: 0032-0889 page 249, left-hand column Further documents are listed in the continuation of box C. Patent family members are listed in annex. ° Special categories of cited documents: *T* later document published after the international filing date "A" document defining the general state of the art which is not or priority date and not in conflict with the application but cited to understand the principle or theory underlying the considered to be of particular relevance "E" earlier document but published on or after the international invention "X" document of particular relevance; the claimed invention filing date cannot be considered novel or cannot be considered to "L" document which may throw doubts on priority claim(s) or involve an inventive step when the document is taken alone which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the "O" document referring to an oral disclosure, use, exhibition or document is combined with one or more other such docuother means ments, such combination being obvious to a person skilled document published prior to the international filing date but in the art. later than the priority date claimed *&* document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 8 December 2000 22/12/2000 Name and mailing address of the ISA Authorized officer

1

NL - 2280 HV Rijswijk

Fax: (+31-70) 340-3016

European Patent Office, P.B. 5818 Patentlaan 2

Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,

Maddox, A



| lation) DOCUMENTS CONSIDERED TO BE RELEVANT | |
|---|---|
| Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
| HAUSMANN L ET AL: "CLONING OF A CDNA CODING FOR A GLYCEROL-3-PHOSPHATE DEHYDROGENASE FROM CUPHEA LANCEOLATA" PLANT LIPID METABOLISM, XX, XX, 1995, pages 534-536, XP000949817 the whole document | 39 |
| WO 99 28480 A (GENECOR INTERNATIONAL INC;DU PONT (US); NAIR RAMESH V (US); PAYNE) 10 June 1999 (1999-06-10) the whole document | 1-39 |
| CLARK D ET AL: "REGULATION OF PHOSPHO LIPID BIOSYNTHESIS IN ESCHERICHIA-COLI CLONING OF THE STRUCTURAL GENE FOR THE BIOSYNTHETIC SN GLYCEROL 3 PHOSPHATE DEHYDROGENASE EC-1.1.1.8" JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 255, no. 2, 1980, pages 714-717, XP002154728 ISSN: 0021-9258 the whole document | 1-39 |
| EDGAR J R ET AL: "BIOSYNTHESIS IN ESCHERICHIA-COLI OF SN GLYCEROL 3 PHOSPHATE A PRECURSOR OF PHOSPHO LIPID PURIFICATION AND PHYSICAL CHARACTERIZATION OF WILD TYPE AND FEEDBACK RESISTANT FORMS OF THE BIOSYNTHETIC SN GLYCEROL 3 PHOSPHATE DEHYDROGENASE EC-1.1.1.8" JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 253, no. 18, 1978, pages 6348-6353, XP002154729 EN ISSN: 0021-9258 the whole document | 1-39 |
| LARSSON K ET AL: "A GENE ENCODING SN-GLYCEROL 3-PHOSPHATE DEHYDROGENASE (NAD+) COMPLEMENTS AN OSMOSENSITIVE MUTANT OF SACCHAROMYCES CEREVISIAE" MOLECULAR MICROBIOLOGY, GB, BLACKWELL SCIENTIFIC, OXFORD, vol. 10, no. 5, 1993, pages 1101-1111, XP000562759 ISSN: 0950-382X the whole document | 29-38 |
| WO 96 38573 A (CANADA NAT RES COUNCIL ;ZOU JITAO (CA); TAYLOR DAVID C (CA); KATAV) 5 December 1996 (1996-12-05) the whole document | 19–28 |
| | HAUSMANN L ET AL: "CLONING OF A CDNA CODING FOR A GLYCEROL-3-PHOSPHATE DEHYDROGENASE FROM CUPHEA LANCEOLATA" PLANT LIPID METABOLISM, XX, XX, 1995, pages 534-536, XPO00949817 the whole document WO 99 28480 A (GENECOR INTERNATIONAL INC; DU PONT (US); NAIR RAMESH V (US); PAYNE) 10 June 1999 (1999-06-10) the whole document CLARK D ET AL: "REGULATION OF PHOSPHO LIPID BIOSYNTHESIS IN ESCHERICHIA-COLI CLONING OF THE STRUCTURAL GENE FOR THE BIOSYNTHETIC SN GLYCEROL 3 PHOSPHATE DEHYDROGENASE EC-1.1.1.8" JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 255, no. 2, 1980, pages 714-717, XPO02154728 ISSN: 0021-9258 the whole document EDGAR J R ET AL: "BIOSYNTHESIS IN ESCHERICHIA-COLI OF SN GLYCEROL 3 PHOSPHATE A PRECURSOR OF PHOSPHO LIPID PURIFICATION AND PHYSICAL CHARACTERIZATION OF WILD TYPE AND FEEDBACK RESISTANT FORMS OF THE BIOSYNTHETIC SN GLYCEROL 3 PHOSPHATE DEHYDROGENASE EC-1.1.1.8" JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 253, no. 18, 1978, pages 6348-6353, XPO02154729 EN ISSN: 0021-9258 the whole document LARSSON K ET AL: "A GENE ENCODING SN-GLYCEROL 3-PHOSPHATE DEHYDROGENASE (NAD+) COMPLEMENTS AN OSMOSENSITIVE MUTANT OF SACCHAROMYCES CEREVISIAE" MOLECULAR MICROBIOLOGY, GB, BLACKWELL SCIENTIFIC, OXFORD, vol. 10, no. 5, 1993, pages 1101-1111, XPO00562759 ISSN: 0950-382X the whole document WO 96 38573 A (CANADA NAT RES COUNCIL; ZOU JITAO (CA); TAYLOR DAVID C (CA); KATAV) 5 December 1996 (1996-12-05) |

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

1



PCT/CA 00/01096

| C (Continue | otion) DOCUMENTO CONCUE | PCT/CA 0 | 0/01096 |
|-------------|--|----------|-----------------------|
| Category ° | Citation of document, with indication where appreciate at the series | | |
| | Citation of document, with indication, where appropriate, of the relevant passages | | Relevant to claim No. |
| Α | EP 0 843 007 A (KIRIN BREWERY) 20 May 1998 (1998-05-20) page 12 | | 19-38 |
| | WO 92 13082 A (KIRIN BREWERY) 6 August 1992 (1992-08-06) the whole document | | 19-38 |
| | | | |
| | | | |
| | | | |
| | Ontinuation of second sheet) (July 1002) | | |

INTERNATIONAL SEARCH REPORT

Immermation on patent family members

PCT/CA 00/01096

| | | T | | 101701 | 00/01090 |
|--------------------------------------|---|------------------|--|--|--|
| Patent document cited in search repo | | Publication date | | Patent family member(s) | Publication date |
| WO 9506733 | A | 09-03-1995 | AU AU CA EP US | 680551 B 7693894 A 2170611 A 0716699 A 6103520 A | 31-07-1997 22-03-1995 09-03-1995 19-06-1996 15-08-2000 |
| W0 9928480 | A | 10-06-1999 | AU BR EP | 1619199 A 9815361 A 1034278 A | 16-06-1999 21-11-2000 13-09-2000 |
| W0 9638573 | Α | 05-12-1996 | AU AU BR CA EP JP PL US | 706507 B 5807196 A 9608693 A 2224470 A 0832262 A 11506323 T 323784 A 6051755 A | 17-06-1999 18-12-1996 07-12-1999 05-12-1996 01-04-1998 08-06-1999 27-04-1998 18-04-2000 |
| EP 0843007 | Α | 20-05-1998 | AU AU CA CN WO | 706899 B 6318296 A 2227864 A 1196085 A 9705246 A | 01-07-1999 26-02-1997 13-02-1997 14-10-1998 13-02-1997 |
| WO 9213082 | A | 06-08-1992 | AU CA DE DE DK EP ES JP US KR | 1163392 A 2100674 A 69207749 D 69207749 T 567648 T 0567648 A 2083732 T 6504439 T 5516667 A 191015 B | 27-08-1992 17-07-1992 29-02-1996 13-06-1996 04-03-1996 03-11-1993 16-04-1996 26-05-1994 14-05-1996 15-06-1999 |

ATENT COOPERATION TRE Y

From the INTERNATIONAL BUREAU **PCT** To: Commissioner **NOTIFICATION OF ELECTION US Department of Commerce United States Patent and Trademark** (PCT Rule 61.2) Office, PCT 2011 South Clark Place Room CP2/5C24 Arlington, VA 22202 Date of mailing (day/month/year) **ETATS-UNIS D'AMERIQUE** 15 June 2001 (15.06.01) in its capacity as elected Office International application No. Applicant's or agent's file reference PCT/CA00/01096 45419-PT International filing date (day/month/year) Priority date (day/month/year) 21 September 2000 (21.09.00) 22 September 1999 (22.09.99) **Applicant** ZOU, Jitao et al 1. The designated Office is hereby notified of its election made: in the demand filed with the International Preliminary Examining Authority on: 20 April 2001 (20.04.01) in a notice effecting later election filed with the International Bureau on: 2. The election was not made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under

| The International Bureau of WIPO |
|----------------------------------|
| 34, chemin des Colombettes |
| 1211 Geneva 20, Switzerland |

Authorized officer

Odile ALIU

Facsimile No.: (41-22) 740.14.35

Telephone No.: (41-22) 338.83.38

16.



From the INTERNATIONAL SEARCHING AUTHORITY

To: KIRBY EADES GALE BAKER Attn. BAUER-MOORE, Andrew Box 3432, Station D

Ottawa, Ontario K1P 6N9

CANADA

PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION

(PCT Rule 44.1)

| | (FCF Hule 44.1) | | |
|--|--|--|--|
| Applicant's or agent's file reference | Date of mailing (day/month/year) 22/12/2000 | | |
| 45419-pt | FOR EURTUER ACTION | | |
| International application No. PCT/CA 00/01096 Applicant | International filing date (day/month/year) See paragraphs 1 and 4 below 21/09/2000 | | |
| NATIONAL RESEARCH COUNCIL OF CANAD | A | | |
| The applicant is hereby notified that the Internation Filing of amendments and statement under Art The applicant is patitled it. | nal Search Report has been established and is transmitted herewith. | | |

| 1 1 | | | |
|--------------|-------------------------|--|--|
| 1. X | the app | licant is hereby | notified that the International Search Report has been said at |
| - 1 | Filing o | amendments a | notified that the International Search Report has been established and is transmitted herewith. |
| 1 | i ne app | licant is entitled, | if he so wishes, to amend the claims of the International Application (see Rule 46): |
| 1 | When? | The time limit # | or filing and |
| | | | or filing such amendments is normally 2 months from the date of transmittal of the earch Report; however, for more details, see the notes on the accompanying sheet. |
| | Where? | Directly to the | International Bureau of WIPO |
| ı | | | 34, Chemin des Colombettos |
| | | | 1211 Geneva 20, Switzerland Fascimile No.: (41–22) 740.14.35 |
| | For more | detailed inctra | Tation |
| | | unica mstra | ctions, see the notes on the accompanying sheet. |
| | | | otified that no International Search Report will be established and that the declaration under ect is transmitted herewith. |
| 3. | With rega | rd to the protes | st against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that: |
| | appli | rotest together v cant's request to | with the decision thereon has been transmitted to the International Bureau together with the forward the texts of both the protest and the decision thereon to the designated Offices. |
| 1 [| | | |
| ' | | reson has been | made yet on the protest; the applicant will be notified as soon as a decision is made. |
| 4. Furthe | er action(s |): The applica | ant is reminded of the following: |
| comp | etion of the | nonths from the wishes to avoid nust reach the In ne technical prep | priority date, the international application will be published by the International Bureau. or postpone publication, a notice of withdrawal of the international application, or of the parational Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the |
| Within wishe | 19 month: s to postp | s from the priorit one the entry int | ty date, a demand for international preliminary examination must be filed if the applicant |
| before | all design | Pated Officer with | y date, the applicant must perform the prescribed acts for entry into the national phase sted because they are not bound by Chapter II. |

Name and mailing address of the International Searching Authority

European Pat nt Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk

priority date or could not be elected because they ar not bound by Chapter II.

Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

Authorized officer

Mireille Claudepierre

(19) World Intellectual Pr perty Organization International Bureau



(43) International Publication Date 29 March 2001 (29.03.2001)

PCT

(10) International Publication Number WO 01/21820 A1

(51) International Patent Classification⁷: 15/53, A01H 5/00

C12N 15/82,

(21) International Application Number: PCT/CA00/01096

(22) International Filing Date:

21 September 2000 (21.09.2000)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/155,133

22 September 1999 (22.09.1999) US

(63) Related by continuation (CON) or continuation-in-part (CIP) to earlier application:

US Filed on

60/155,133 (CON) 22 September 1999 (22.09.1999)

(71) Applicant (for all designated States except US): NA-TIONAL RESEARCH COUNCIL OF CANADA [CA/CA]; 1200 Montreal Road, Ottawa, Ontario K1A 0R6 (CA).

(72) Inventors; and

(75) Inventors/Applicants (for US only): ZOU, Jitao [CA/CA]; 1619 Acadia Drive, Saskatoon, Saskatchewan S7H 5K7 (CA). WEI, Yangdou [CA/CA]; 1514 Main Street, Apt. 8, Saskatoon, Saskatchewan S7J 4C3 (CA). PERIAPPURAM, Cyril [CA/CA]; 101-536 4th Avenue North, Saskatoon, Saskatchewan S7K 2M7 (CA).

SELVARAJ, Gopalan [CA/CA]; 540 Nesslin Crescent, Saskatoon, Saskatchewan S7J 4V5 (CA). DATLA, Raju [CA/CA]; 422 Tennant Way, Saskatoon, Saskatchewan S7H 5C4 (CA).

- (74) Agents: BAUER-MOORE, Andrew et al.; Kirby, Eades, Gale, Baker, P.O. Box 3432, Station D, Ottawa, Ontario K1P 6N9 (CA).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

- With international search report.
- With (an) indication(s) in relation to deposited biological material furnished under Rule 13bis separately from the description.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: TRANSGENIC MANIPULATION OF SN-GLYCEROL-3-PHOSPHATE AND GLYCEROL PRODUCTION WITH A FEEDBACK DEFECTIVE GLYCEROL-3-PHOSPHATE DEHYDROGENASE GENE

(57) Abstract: The invention provides a method for genetically transforming a plant so that it expresses a glycerol-3-phosphate dehydrogenase that is feed-back defective. The feed-back defective enzyme raises levels of glycerol and glycerol-3-phosphate in comparison to the wild type, leading to increased osmotic stress tolerance, and altered fatty acid content in glycerolipids.

Original (for SUBMISSION) - printed on 21.09.2000 03:30:59 PM

45419-PT

| | Original (for St | UBMISSION) - printed on 21.09.2000 03:30:59 PM |
|-------|---|--|
| 0-1 | Form - PCT/RO/134 (EASY) Indications Relating to Deposited Microorganism(s) or Other Biological Material (PCT Rule 13bis) | |
| 0-1-1 | Prepared using | PCT-EASY Version 2.91 |
| 0-2 | International Application No. | (updated 01.07.2000) |
| | meriadoriai Application No. | 00/01096 |
| 0-3 | Applicant's or agent's file reference | 45419-PT |
| 1 | The indications made below relate to the deposited microorganism(s) or other biological material referred to in | |
| 1-1 | the description on: | |
| 1-2 | line | 10 27 |
| 1-3 | identification of Deposit | |
| 1-3-1 | Name of depositary institution | American The Galler |
| 1-3-2 | Address of depositary institution | American Type Culture Collection 10801 University Blvd., Manassas, |
| | | Virginia 20110-2209United States of America |
| 1-3-3 | Date of deposit | |
| 1-3-4 | Accession Number | 31 August 2000 (31.08.2000) ATCC 2433 |
| 1-4 | Additional Indications | NONE |
| 1-5 | Designated States for Which Indications are Made | all designated States |
| 1-6 | Cananta F. L. L. L. C. | NONE |
| | These indications will be submitted to the International Bureau later | |
| | | ECEIVING OFFICE USE ONLY |
| 0-4 | This form was received with the | |
| | international application: (yes or no) | Yes |
|)-4-1 | Authorized officer | 1 20 · 11 · |
| | | Carole Millain |
| | | RNATIONAL BUREAU USE ONLY |
| -5 | This form was received by the International Bureau on: | |
| -5-1 | Authorized officer | |
| | | |

Intern sal Application No PCT/CA 00/01096

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C12N15/82 C12N15/53 A01H5/00 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 7 C12N A01H Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, BIOSIS, WPI Data C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Category ° Relevant to claim No. X WO 95 06733 A (MAX PLANCK GESELLSCHAFT 1-3, ;SCHELL JOZEF (DE); HAUSMANN LUEDGER 7-12. (DE);) 9 March 1995 (1995-03-09) 16-21, 25-28,39 Υ the whole document 29-31, 35-38 GEE ROBERT ET AL: "Two isoforms of 29-31, dihydroxyacetone phosphate reductase from 35-38 the chloroplasts of Dunaliella tertiolecta." PLANT PHYSIOLOGY (ROCKVILLE), vol. 103, no. 1, 1993, pages 243-249, XP002154757 ISSN: 0032-0889 page 249, left-hand column -/--Further documents are listed in the continuation of box C. Patent family members are listed in annex. Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but "A" document defining the general state of the art which is not cited to understand the principle or theory underlying the considered to be of particular relevance invention *E* earlier document but published on or after the International "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to *L* document which may throw doubts on priority claim(s) or involve an inventive step when the document is taken alone which is cited to establish the publication date of another "Y" document of particular relevance; the claimed invention citation or other special reason (as specified) cannot be considered to involve an inventive step when the O' document referring to an oral disclosure, use, exhibition or document is combined with one or more other such docuother means ments, such combination being obvious to a person skilled *P* document published prior to the international filing date but in the art. later than the priority date claimed *&* document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 8 December 2000 22/12/2000 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl. Maddox, A Fax: (+31-70) 340-3016



Intern ial Application No PCT/CA 00/01096

| 0/0 | etion) DOCUMENTO CONCIDENCE TO THE TOTAL TO | PCT/CA 00/01096 | | | | | |
|--|---|-----------------------|--|--|--|--|--|
| C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT Category * Citation of document, with indication, where appropriate, of the relevant passages. Delouge to the plant to the passages Delouge t | | | | | | | |
| Carefold . | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. | | | | | |
| X | HAUSMANN L ET AL: "CLONING OF A CDNA CODING FOR A GLYCEROL-3-PHOSPHATE DEHYDROGENASE FROM CUPHEA LANCEOLATA" PLANT LIPID METABOLISM, XX, XX, 1995, pages 534-536, XP000949817 the whole document | 39 | | | | | |
| A | WO 99 28480 A (GENECOR INTERNATIONAL INC; DU PONT (US); NAIR RAMESH V (US); PAYNE) 10 June 1999 (1999-06-10) the whole document | 1-39 | | | | | |
| A | CLARK D ET AL: "REGULATION OF PHOSPHO LIPID BIOSYNTHESIS IN ESCHERICHIA-COLI CLONING OF THE STRUCTURAL GENE FOR THE BIOSYNTHETIC SN GLYCEROL 3 PHOSPHATE DEHYDROGENASE EC-1.1.1.8" JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 255, no. 2, 1980, pages 714-717, XP002154728 ISSN: 0021-9258 the whole document | 1-39 | | | | | |
| A | EDGAR J R ET AL: "BIOSYNTHESIS IN ESCHERICHIA-COLI OF SN GLYCEROL 3 PHOSPHATE A PRECURSOR OF PHOSPHO LIPID PURIFICATION AND PHYSICAL CHARACTERIZATION OF WILD TYPE AND FEEDBACK RESISTANT FORMS OF THE BIOSYNTHETIC SN GLYCEROL 3 PHOSPHATE DEHYDROGENASE EC-1.1.1.8" JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 253, no. 18, 1978, pages 6348-6353, XP002154729 EN ISSN: 0021-9258 the whole document | 1-39 | | | | | |
| 4 | LARSSON K ET AL: "A GENE ENCODING SN-GLYCEROL 3-PHOSPHATE DEHYDROGENASE (NAD+) COMPLEMENTS AN OSMOSENSITIVE MUTANT OF SACCHAROMYCES CEREVISIAE" MOLECULAR MICROBIOLOGY, GB, BLACKWELL SCIENTIFIC, OXFORD, vol. 10, no. 5, 1993, pages 1101-1111, XP000562759 ISSN: 0950-382X the whole document | 29-38 | | | | | |
| | WO 96 38573 A (CANADA NAT RES COUNCIL ; ZOU JITAO (CA); TAYLOR DAVID C (CA); KATAV) 5 December 1996 (1996-12-05) the whole document -/ | 19-28 | | | | | |





Interno pal Application No PCT/CA 00/01096

| C.(Continu | Mion) DOCUMENTS CONSIDERED TO BE RELEVANT | | FC1/CA 00/01096 | | | |
|--|---|--|-----------------|--|--|--|
| Category Citation of document, with indication, where appropriate, of the relevant passages Relevant to classification. | | | | | | |
| Α | EP 0 843 007 A (KIRIN BREWERY) 20 May 1998 (1998-05-20) page 12 | | 19-38 | | | |
| A | WO 92 13082 A (KIRIN BREWERY) 6 August 1992 (1992-08-06) the whole document | | 19-38 | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | • | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | • | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | • | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

1

0

in Jermation on patent family members

Internr usl Application No PCT/CA 00/01096

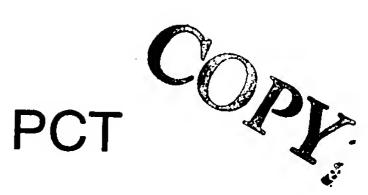
| Patent document cited in search report | | Publication date | Patent family member(s) | | Publication date | |
|--|----------|------------------|-------------------------|--------------------|------------------|--|
| WO 9506733 | Α . | 09-03-1995 | AU | 680551 B | 31-07-1997 | |
| | | | AU | 7693894 A | 22-03-1995 | |
| | | | CA | 2170611 A | 09-03-1995 | |
| | | | EP | 0716699 A | 19-06-1996 | |
| | | | US | 6103520 A | 15-08-2000 | |
| WO 9928480 | Α | 10-06-1999 | AU | 1619199 A | 16-06-1999 | |
| | | | BR | 9815361 A | 21-11-2000 | |
| | | | EP | 1034278 A | 13-09-2000 | |
| WO 9638573 | A | 05-12-1996 | AU | 706507 B | 17-06-1999 | |
| | | | AU | 5807196 A | 18-12-1996 | |
| | | | BR | 9608693 A | 07-12-1999 | |
| | | | CA | 2224470 A | 05-12-1996 | |
| | | | EP | 0832262 A | 01-04-1998 | |
| | | | JP | 11506323 T | 08-06-1999 | |
| | | | PL | 323784 A | 27-04-1998 | |
| | <u>-</u> | | US | 6051755 A | 18-04-2000 | |
| EP 0843007 | A | 20-05-1998 | AU | 706899 B | 01-07-1999 | |
| | | | AU | 6318296 A | 26-02-1997 | |
| | | | CA | 2227864 A | 13-02-1997 | |
| | | | CN | 1196085 A | 14-10-1998 | |
| | | | WO | 9705246 A | 13-02-1997 | |
| WO 9213082 | Α | 06-08-1992 | AU | 1163392 A | 27-08-1992 | |
| | | | CA | 2100674 A | 17-07-1992 | |
| | | | DE | 69207749 D | 29-02-1996 | |
| | | | DE | 69207749 T | 13-06-1996 | |
| | | , | DK | 567648 T | 04-03-1996 | |
| | | | ΕP | 0567 <u>6</u> 48 A | 03-11-1993 | |
| | | | ES | 2083732 T | 16-04-1996 | |
| | | | JP | 6504439 T | 26-05-1994 | |
| | | | US | 5516667 A | 14-05-1996 | |
| | | | KR | 191015 B | 15-06-1999 | |

PATENT COOPERATION TREATY

From the INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

LACHAINE, Kimberley et al. KIRBY EADES GALE BAKER Box 3432, Station D Ottawa, Ontario K1P 6N9 CANADA



NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing

(day/month/year)

14.12.2001

Applicant's or agent's file reference

International application No.

PCT/CA00/01096

45419-pt

IMPORTANT NOTIFICATION

International filing date (day/month/year) 21/09/2000

Priority date (day/month/year)

22/09/1999

Applicant

NATIONAL RESEARCH COUNCIL OF CANADA et al.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of th report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Articl 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

Authorized officer

) D.

European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465

Faux, K

Tel.+49 89 2399-8062

